## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Sheet | 1 | of | 2 |

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|                        | Complete if Known |                             |
| Application Number     | 09/700524         |                             |
| Filing Date            | 11/15/2000        |                             |
| First Named Inventor   | David A Kapilow   |                             |
| Group Art Unit         | 2641 2654         |                             |
| Examiner Name          | V. Paul Harper    |                             |
| Attorney Docket Number | 1999-0096         |                             |

|                       |               | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  |   |
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| Examiner<br>Initials* | Cite<br>No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  | T |
| OP A                  | A1            | "Waveform Substitution Techniques for Recovering Missing Speech Segments in Packet Voice Communications," by D. J. Goodman et al., <a href="IEEE Transactions on Acoustics">IEEE Transactions on Acoustics</a> , Speech and Signal Processing, Vol. ASSP-34, No. 6, pp.1440-1448, (December, 1986).          | Е |
| OPH                   | A2            | ''An Overlap-Add Technique Based on Waveform Similarity (WSOLA) for High Quality Time-Scale Modification of Speech,'' by W. Verhelst et al., Proc. IEEE ICASSP-93, pp. 554-557, (1993).  | С |
| CPH                   | А3            | "The Effect of Waveform Substitution on the Quality of PCM Packet Communications," by O. J. Wasem et al., <a href="IEEE Transactions on Acoustics">IEEE Transactions on Acoustics</a> , <a href="Speech and Signal Processing">Speech and Signal Processing</a> , Vol. 36, No. 3, pp.342-348, (March, 1988). | С |
| CPH                   | A4            | "Pitch-Synchronous Waveform Processing Techniques for Text-to-Speech Synthesis Using Diphones," by E. Moulines et al. Speech Communication 9, pp. 453-467, North-Holland, (1990).  | С |
| OPH                   | <b>A</b> 5    | ''Pulse Code Modulation (PCM) of Voice Frequencies'', <u>ITU-T Recommendation</u> <u>G.711</u> (Extract from the <i>Blue Book</i> ) (Geneva, 1972; further amended).   | С |
| OPA                   | <b>A</b> 6    | "Pulse Code Modulation (PCM) of Voice Frequencies," Appendix I: A high quality low-complexity algorithm for packet loss concealment with G.711.  ITU-T Recommendation G.711, Appendix I (09/99).   |   |

Examiner
Signature

Date
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<sup>\*</sup>Unique citation désignation number. \*See attached Kinds of U.S. Patent Documents \*Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). 4 Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the approp symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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| Sheet | 2 | of | 3 |
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|                        | • Complete if Known  |
|------------------------|----------------------|
| Application Number     | 09/700524            |
| Filing Date            | 11/15/2000           |
| First Named Inventor   | David A Kapilow      |
| Group Art Unit         | <del>2641</del> 2654 |
| Examiner Name          | Y. Paul Harber       |
| Attorney Docket Number | 1999-0096            |

|                       |               | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  |          |
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| OP14                  | A7            | "Pulse Code Modulation (PCM) of Voice Frequencies," Appendix II: A comfort noise payload definition for ITU-T G.711 use in packet-based multimedia communication systems, <a href="ITU-T Recommendation G.711-Appendix II">ITU-T Recommendation G.711-Appendix II</a> , (02/2000). |          |
| OPH                   | A8            | ''Dual Rate Speech Coder for Multimedia Communications Transmitting at 5.3 and 6.3 kbit/s'', ITU-T Recommendation G.723.1, (Geneva, 03/96).  |          |
| app                   | <b>A</b> 9    | ''40, 32, 24, 16 kbit/s Adaptive Differential Pulse Code Modulation (ADPCM)'' CCITT Recommendation G.726, (Geneva, 1990).  | С        |
| OP B                  | AlO           | ''Coding of Speech at 16 kbit/s Using Low-Delay Code Excited Linear Prediction'', CCITT Recommendation G.728, (Geneva, 1992).  |          |
| &P\$                  | A11           | "Programs and Test Sequences for Implementation Verification of the Algorithm of the G.728 16 kbit/s LD-CELP Speech Coder", G.728 Appendix 1: Verification tools, <a href="ITU-T Recommendation G.728 Appendix I">ITU-T Recommendation G.728 Appendix I</a> (07/95).               |          |
| OP O                  | A12           | ``Speech Performance'', Appendix II, Rec. G.728, Appendix II to ITU-T<br>Recommendation G.728 (11/95).   | E        |
| Examiner              | •             | M. Bar Date 9/6/64   | <u>_</u> |

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Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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| S      | ubstitute for form 1 | 449A/PT | 0        |                        | Ą                  | . Complete if Know | n |  |
| •      | WES DATA             |         |          | Application Number     | 09/70052           | 24                 |   |  |
|        | INFORMA              |         |          | Filing Date            | 11/15/20           | 000                |   |  |
|        | DISCLOS              |         |          | First Named Inventor   | David A            | Kapilow            |   |  |
|        | MENT BY              |         |          | Group Art Unit         | <del>2641</del> 2( | 054                |   |  |
| (use a | s many sheets        | s as ne | cessary) | Examiner Name          | V. Pa              | ul Harper          |   |  |
| Sheet  | 3                    | of      | 3        | Attorney Docket Number | 1999-009           |                    |   |  |

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|-------|---|
| No. 1 | symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.   |
| A13   | "Coding of Speech at 16 kbit/s Using Low-Delay Code Excited Linear Prediction", Annex G: 16 kbit/s fixed point specification, Corrigendum 1 ITU-T Recommendation G.728 - Annex G - Corrigendum 1 (02/00).   |
| A14   | "'Coding of Speech at 16 kbit/s Using Low-Delay Code Excited Linear Prediction'', Annex H: Variable bit rate LD-CELP operation mainly for DCME at rates less than 16 kbit/s'', <a href="ITU-T Recommendation G.728 - Annex H">ITU-T Recommendation G.728 - Annex H</a> (05/99). |
| A15   | ''Coding of Speech at 16 kbit/s Using Low-Delay Code Excited Linear Prediction'', Annex I: Frame or packet loss concealment for the LD-CELP decoder'', ITU-T Recommendation G.728 - Annex I (05/99).  |
| A16   | ''Coding of Speech at 16 kbit/s Using Low-Delay Code Excited Linear Prediction'', Annex J: Variable bit-rate operation of LD-CELP mainly for voiceband-data applications in DCME, <a href="ITU">ITU -T Recommendation G.728 - Annex J (09/99)</a> .                             |
| A17   | "Coding of Speech at 8 kbit/s Using Conjugate-Structure Algebraic-Code-<br>Excited Linear-Prediction (CS-ACELP)", ITU-T Recommendation G.729 (Geneva, (03/96).  |
|       |   |
|       | A13 A14 A15   |

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